

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ross L. Stevens et al.)	
Serial No.:	10/711,889)	
Filed:	October 12, 2004)	Confirmation No. 5888
Group Art Unit:	4143)	
Examiner:	John O. Preston)	
Attorney Docket:	014033-000037)	
Title:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R § 1.111

Sir:

This paper is being filed in response to the non-final Office Action mailed February 21, 2008, having a reply due date of May 21, 2008. Applicants believe no fee is due at this time. Should Applicants be mistaken as to the fee, you are authorized to charge deposit account 13-4365 for the shortfall, or credit said deposit account for any overpayment. Reconsideration of the application is respectfully requested in light of the amendments and remarks contained herein.

Amendments to the Specification there are no amendments to the specification.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 10 of this paper.